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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.63(b))

Attorney Docket No. **01-251**

First Inventor or Application Identifier **OGURI et al.**

Title **SILICON CARBIDE SINGLE CRYSTAL AND PROCESS FOR
PRODUCING THE SAME**

Express Mail Label No. _____

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Specification [Total Pages **32**]
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description of the Preferred Embodiment
 - Claims
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
- Oath or Declaration [Total Sheets **4**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63 (d))
(for continuation/divisional) with Box 16 completed
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 3: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC657 U 5 PTO
10/05/2002

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b)
Statement (when there is an assignee) Power of Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
 - *Small Entity Statement Statement filed in prior application, (PTO/SB/08-12) Status still proper and desired
13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. Other:
.....
.....
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer number or attach bar code label here)



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or Correspondence address below

Name _____

Address _____

City _____

State _____

Zip Code _____

Country _____

Telephone (202) 416-1638

Fax (202) 416-1639

Name (Print/type)	KERRY S. CULPEPPER	Registration No. (Attorney/Agent)	45,672
Signature		Date	Jan 30-02

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2000 L STREET, N.W., SUITE 200
WASHINGTON, D.C. 20036

SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ
CHARLES W. BETHARDS*
KERRY S. CULPEPPER

* ADMITTED ONLY IN ILLINOIS
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TRADEMARK AND COPYRIGHT MATTERS

January 30, 2002

(202) 416-1638
FAX (202) 416-1639
POSZLAW.COM

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: OGURI et al.

For: SILICON CARBIDE SINGLE CRYSTAL AND PROCESS FOR PRODUCING
THE SAME

Docket: 01-251

Attorney: Kerry S. Culpepper

Date of Deposit: January 30, 2002

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 32 page specification including 30 numbered claims;
- 4 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recordation cover sheet (2 pages total);
- IDS with PTO-1449 form and 4 references;
- 1 certified copy(ies) of priority document(s) (JP 2001-030477); and
- check for \$1212.


Kerry S. Culpepper
Reg. No. 45,672
Attorney for Applicant

10058353
1000010 - 2558

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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1212)

Complete if Known

Application Number	
Filing Date	January 30, 2002
First Named Inventor	OGURI et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	01-251

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-1147

Deposit Account Name

LAW OFFICE OF DAVID G. POSZ

 Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740 201 370	Utility filing fee	740	
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		
SUBTOTAL (1)		(\$ 740)	

2. EXTRA CLAIM FEES

Total Claims	-20**=	Extra Claims	Fee from Below	Fee Paid
30		10	18	= 180
Independent Claims	- 3**=	3	84	= 252
Multiple Dependent				
*or number previously paid, if greater; For Reissues, see below				
Large Entity	Small Entity	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)			
103 18 203 9	Claims in excess of 20			
102 84 202 42	Independent claims in excess of 3			
104 280 204 140	Multiple dependent claim, if not paid			
109 84 209 42	**Reissue independent claims over original patent			
110 18 210 9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)		(\$ 432)		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet,	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revoke - unavoidable	
141 1,280 241 640		Petition to revoke - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(e))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 40)	

Complete if Applicable

Name (Print/Type)	KERRY S. CULPEPPER	Registration No. (Attorney/Agent)	45,672	Telephone	(202) 416-1638
Signature		Date	1/30/02		

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